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PTO/SB/21 (6-98)
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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5

	Application Numb r	09/770,602	司
	Filing Date	January 26, 2001	CH C
	First Named Inventor	Agrawal	ENI
i	Group Art Unit	1645	도
	Examiner Name	Not Yet Assigned	000
	Attorney Docket Number	47508.701	7

ENCLOSURES (check all that apply)					
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group			
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Licensing-related Papers	Appeal Communication to Ground (Appeal Notice, Brief, Reply Brief)			
- After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information			
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below)			
Express Abandonment Request		Postcard			
Information Disclosure Statemen	Small Entity Statement  Request for Refund				
Certified Copy of Priority Document(s)	Remarks				
Response to Missing Parts/ Incomplete Application	PTO Form 1449 with referen	aces			
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name Ann-Louise Kerner, Ph.D. (Reg. No. 33,523)					
Signature Off-Column Kerry  Date September 10, 2001					
Date September (C	, 2001 .				

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 47508.701)

Applicant(s):

Sudhir Agrawal

Serial No.:

09/770,602

Examiner: Not Yet Assigned

Filed:

January 26, 2001

Group Art Unit: 1645

For:

MODULATION OF OLIGONUCLEOTIDE CpG-MEDIATED

IMMUNE STIMULATION BY POSITIONAL MODIFICATION OF

**NUCLEOSIDES** 

Assistant Commissioner for Patents Washington, D.C. 20231

**CERTIFICATE UNDER 37 C.F.R. 1.8(a)** 

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Date

Melanie Legare

**INFORMATION DISCLOSURE STATEMENT** 

Sir:

Applicant and their attorney are aware of the following publications and information listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. Please note, however, that reference A11, Crooke (Ed.), *Antisense Research and Applications* (CRC Press, Boca Raton, FL) is not enclosed as it is a book and too voluminous to provide with this Statement.

Applicant states under 37 C.F.R. §1.97(e)(1) that some of the publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty application number PCT/US01/02681 not more than three months prior to the filing of this statement. Also enclosed is a copy of the Search Report for the Examiner's review.

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This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Date: 9/10/01

Respectfully submitted,

Ann-Louise Kerner, Ph.D.

Registration No. 33,523 Attorney for Applicant

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